

# Heavy quark production in $\gamma\gamma$ collisions

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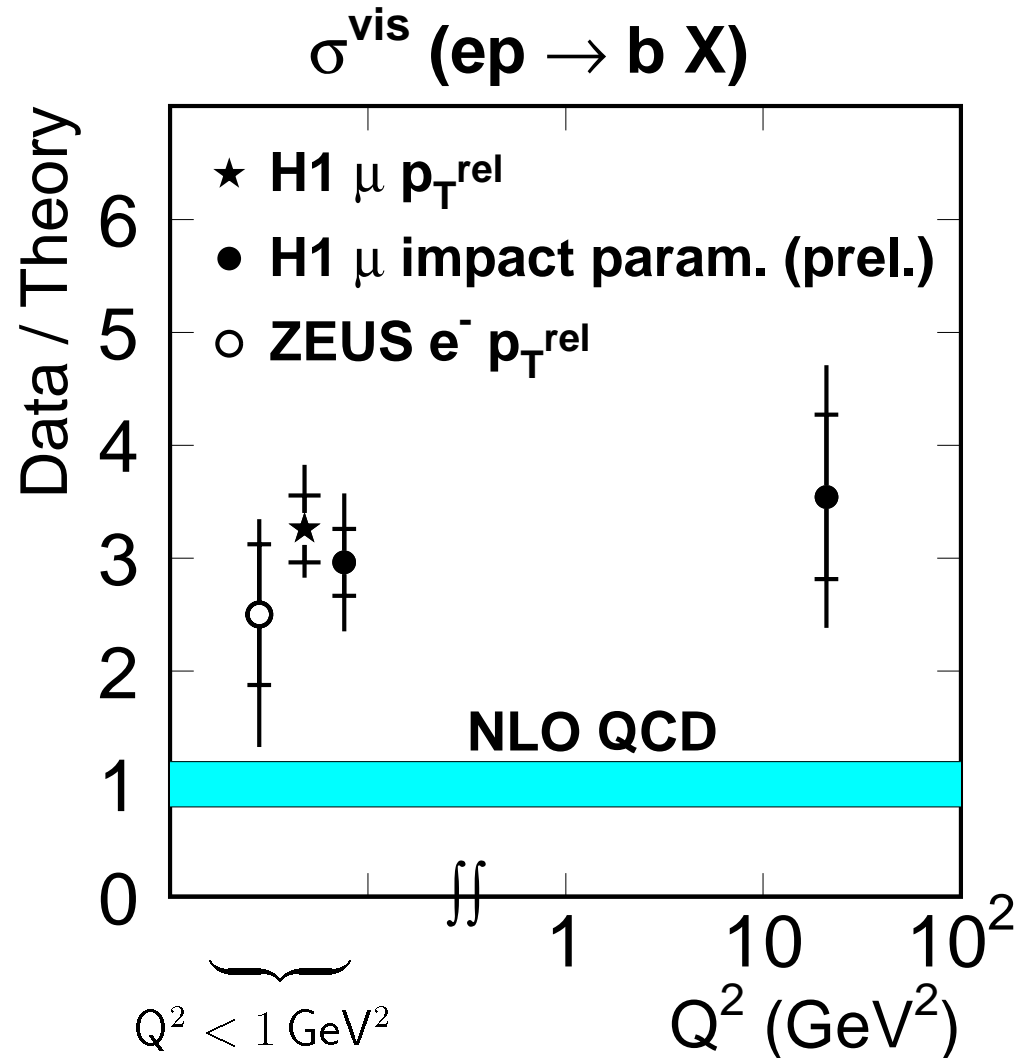
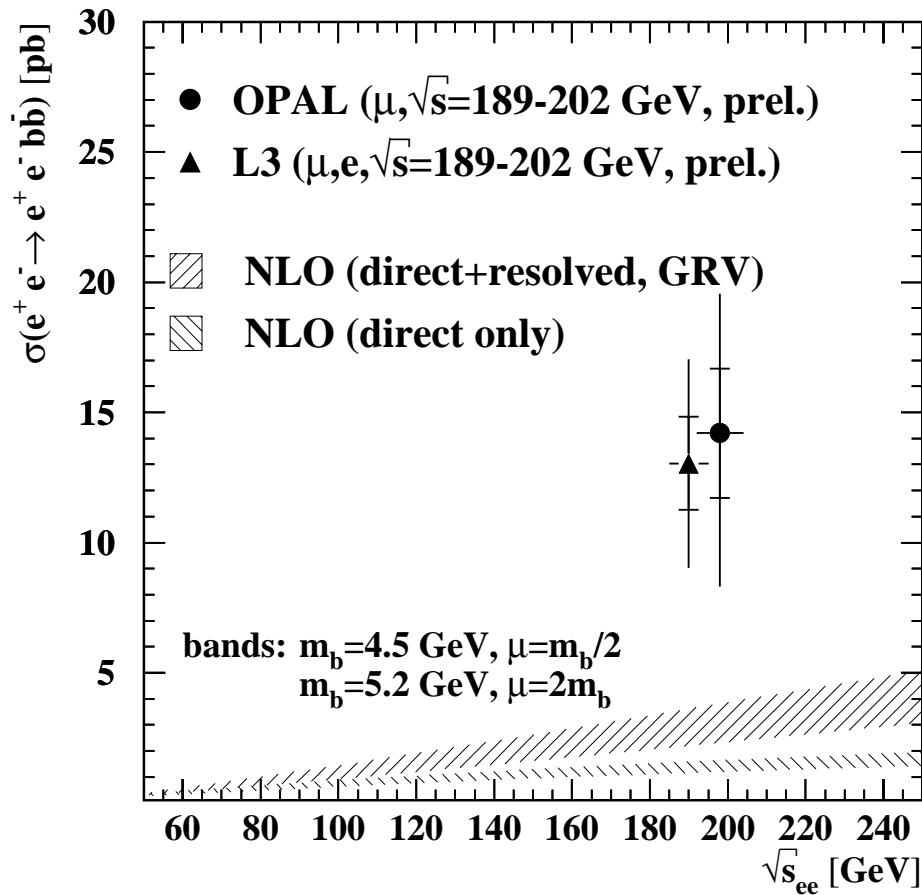
Details in [hep-ph/0010140](#)

Related in part to [JHEP04\(2000\)007](#)

Rejected by referees in [PLB](#) as well as [JHEP](#)

$b\bar{b}$  production in  $\gamma p$  and  $\gamma\gamma$  collisions: crisis of PQCD?

**OPAL preliminary**



## QCD analysis of $\sigma(\gamma\gamma \rightarrow Q\bar{Q})$ : conventional approach

In the conventional approach the **NLO QCD** approximation is defined by taking into account **the first two terms** in expansions of direct, as well as single and double resolved photon contributions

$$\begin{aligned}\sigma_{\text{dir}} &= \sigma_{\text{dir}}^{(0)} + \sigma_{\text{dir}}^{(1)}\alpha_s(\mu) + \sigma_{\text{dir}}^{(2)}(M, \mu)\alpha_s^2(\mu) + \sigma_{\text{dir}}^{(3)}(M, \mu)\alpha_s^3(\mu) + \dots, \\ \sigma_{\text{sr}} &= \sigma_{\text{sr}}^{(1)}(M)\alpha_s(\mu) + \sigma_{\text{sr}}^{(2)}(M, \mu)\alpha_s^2(\mu) + \sigma_{\text{sr}}^{(3)}(M, \mu)\alpha_s^3(\mu) + \dots, \\ \sigma_{\text{dr}} &= \sigma_{\text{dr}}^{(2)}(M)\alpha_s^2(\mu) + \sigma_{\text{dr}}^{(3)}(M, \mu)\alpha_s^3(\mu) + \dots\end{aligned}$$

to the total cross section

$$\sigma(\gamma\gamma \rightarrow Q\bar{Q}) = \sigma_{\text{dir}} + \sigma_{\text{sr}} + \sigma_{\text{dr}}.$$

where  $\sigma_{\text{dir}}^{(0)}$  comes from **pure QED** and equals

$$\sigma_{\text{dir}}^{(0)} = \sigma_0 \left[ \left( 1 + \frac{4m_Q^2}{s} - \frac{8m_Q^4}{s^2} \right) \ln \frac{1+\beta}{1-\beta} - \beta \left( 1 + \frac{4m_Q^2}{s} \right) \right],$$

PDF of the photon satisfy the system of **inhomogeneous** evolution equations

$$\begin{aligned}\frac{d\Sigma(x, M)}{d \ln M^2} &= \delta_\Sigma k_q + P_{qq} \otimes \Sigma + P_{qG} \otimes G, \\ \frac{dG(x, M)}{d \ln M^2} &= k_G + P_{Gq} \otimes \Sigma + P_{GG} \otimes G, \\ \frac{dq_{\text{NS}}(x, M)}{d \ln M^2} &= \delta_{\text{NS}} k_q + P_{\text{NS}} \otimes q_{\text{NS}},\end{aligned}$$

where  $\delta_{\text{NS}} \equiv 6n_f (\langle e^4 \rangle - \langle e^2 \rangle^2)$ ,  $\delta_\Sigma = 6n_f \langle e^2 \rangle$  and

$$\begin{aligned}k_q(x, M) &= \frac{\alpha}{2\pi} \left[ k_q^{(0)}(x) + \frac{\alpha_s(M)}{2\pi} k_q^{(1)}(x) + \left( \frac{\alpha_s(M)}{2\pi} \right)^2 k_q^{(2)}(x) + \dots \right], \\ k_G(x, M) &= \frac{\alpha}{2\pi} \left[ \frac{\alpha_s(M)}{2\pi} k_G^{(1)}(x) + \left( \frac{\alpha_s(M)}{2\pi} \right)^2 k_G^{(2)}(x) + \dots \right], \\ P_{ij}(x, M) &= \frac{\alpha_s(M)}{2\pi} P_{ij}^{(0)}(x) + \left( \frac{\alpha_s(M)}{2\pi} \right)^2 P_{ij}^{(1)}(x) + \dots.\end{aligned}$$

Due to the presence of  $k_q$  general solution of these equations can be split into the **hadron-like** (HAD) and **point-like** (PL) parts.

$$D(x, M) = D^{\text{PL}}(x, M, M_0) + D^{\text{HAD}}(x, M, M_0).$$

All complications of hard collisions of photons stem from  $D^{\text{PL}}(x, M, M_0)$  which results from the resummations

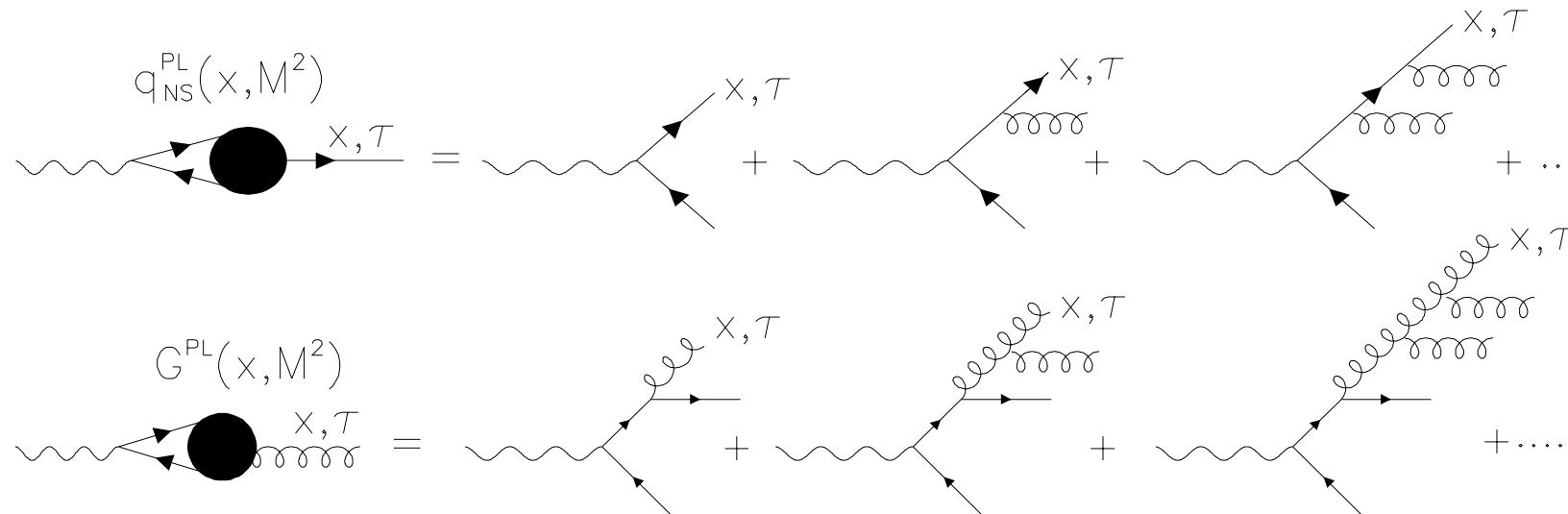


Figure 1: Diagrams defining the point-like parts of nonsinglet quark and gluon distribution functions. The resummation involves integration over parton virtualities  $\tau \leq M^2$  and is represented by the junction of the blob and the  $\gamma \rightarrow q\bar{q}$  vertex. Partons going into the hard collision are denoted by  $x, \tau$ .

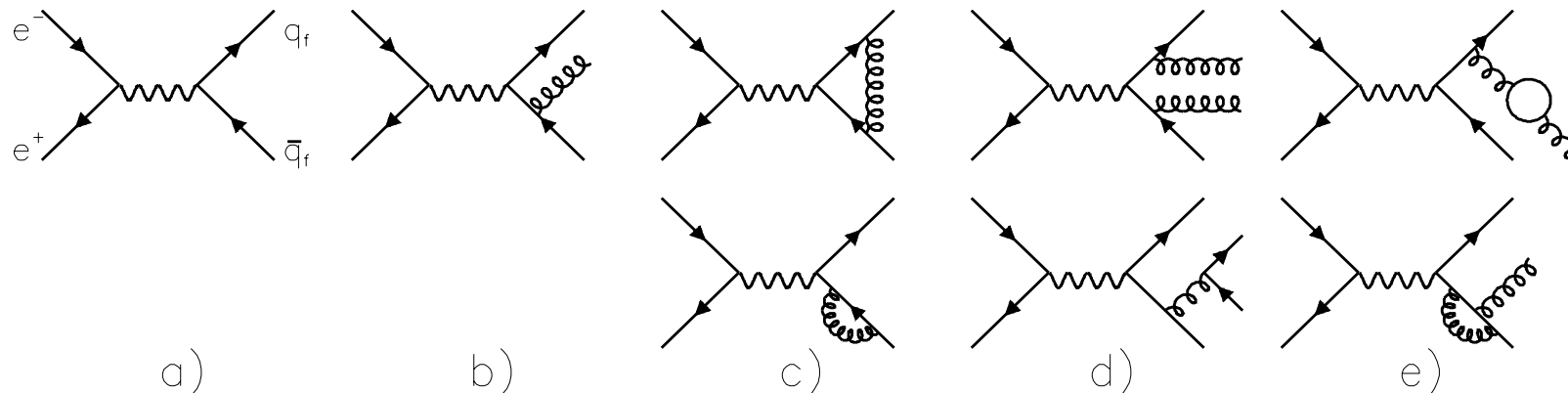
## Defining the NLO approximation

Recall the definition of the term **next-to-leading** for  $\sigma(e^+e^- \rightarrow \text{hadrons})$

$$\sigma_{\text{had}}(Q) = \sigma_{\text{had}}^{(0)}(Q) + \alpha_s(\mu)\sigma_{\text{had}}^{(1)}(Q) + \alpha_s^2(\mu)\sigma_{\text{had}}^{(2)}(Q/\mu) + \dots = \sigma_{\text{had}}^{(0)}(Q)(1 + r(Q)),$$

where  $\sigma_{\text{had}}^{(0)}(Q) \equiv (4\pi\alpha^2/Q^2) \sum_f e_f^2$  comes from **pure QED** whereas **genuine QCD effects** are contained in

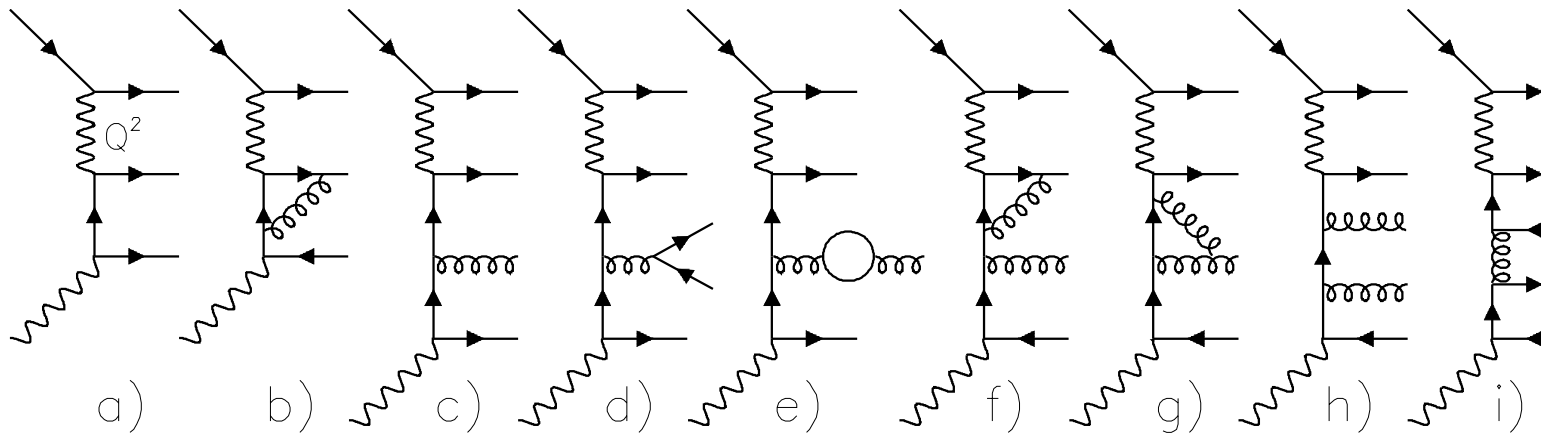
$$r(Q) = \frac{\alpha_s(\mu)}{\pi} \left[ 1 + \frac{\alpha_s(\mu)}{\pi} r_1(Q/\mu) + \dots \right]. \quad (1)$$



For this quantity the terms **LO** and **NLO** are applied to **genuine QCD effects!**

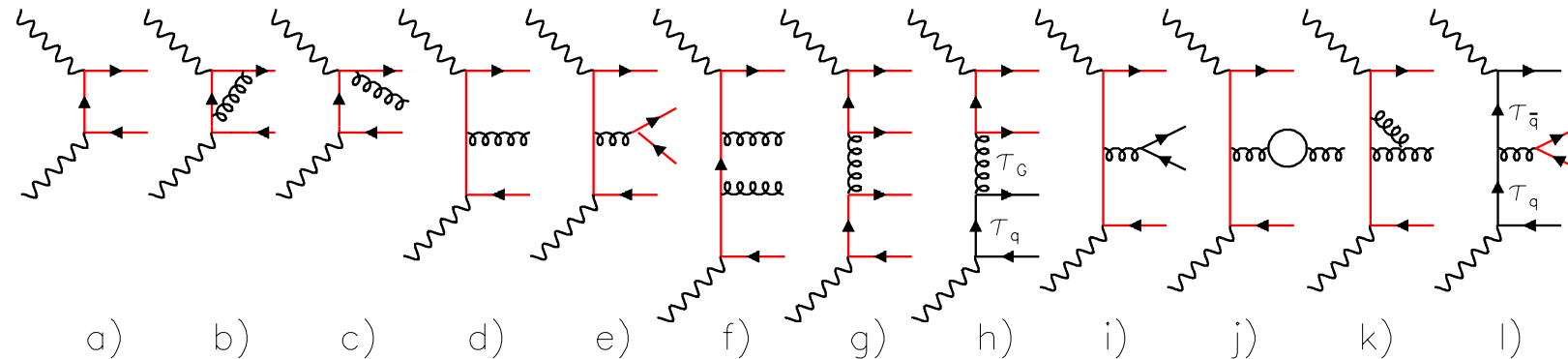
**NOTE:** inclusion of first two term in (??) is needed in order to work in a **well-defined renormalization scheme of  $\alpha_s$ !**

For purely perturbative quantities like  $\sigma_{\text{had}}(Q)$  the association of the term **NLO QCD approximation** with a **well-defined RS** is a **generally accepted convention**, worth retaining for physical quantities in any hard scattering process, like the point-like part of  $F_2^\gamma(x, Q^2)$ , measured in DIS on the photon:



and discussed in detail in **JHEP04(2000)007**.

## Direct photon contribution to $\sigma(\gamma\gamma \rightarrow Q\bar{Q})$



At the order  $\alpha^2\alpha_s^2$  diagrams with light quarks appear and we can distinguish (to all orders) **three classes** of contributions, differing by the charge factor  $CF$  :

**Class A:**  $CF = e_Q^4$ . Comes from diagrams in which both primary photons couple to heavy quarks or antiquarks.

**Class B:**  $CF = e_Q^2 e_q^2$ . Comes from diagrams in which one of the primary photons couples to a heavy and the other to a light quark-antiquark pair.

**Class C:**  $CF = e_q^4$ . Comes from diagrams in which both photons couple to light  $q\bar{q}$  pairs.



- For classes B and C the corresponding diagrams involve (and massless light quarks) **initial state mass singularities** that must be subtracted and put into the corresponding PDF of the photons.
- Because of different charge factors  $C_F$  classes A, B and C **do not mix** under **renormalization** of  $\alpha_s$  and **factorization** of mass singularities.
- The order  $\alpha^2\alpha_s^2$  direct photon contributions of **all three classes are needed** for theoretical consistency:
  - A:** for the calculation of class A direct photon contribution (which does not mix with any other) to be performed in a **well-defined RS**
  - B:** For **factorization scale invariance** of the sum of direct and resolved photon contributions.
  - C:** dtto.
- Classes **B,C** can be defined also for the **PL parts of single** and class **C** for **PL parts of double** resolved photon contributions.
- Classes A,B,C can be treated **separately** as they **do not mix**.

## Class A direct photon contributions

In the conventional approach the "leading" and "next-to-leading" order approximations of  $\sigma_{\text{dir}}$  are defined as follows

**LO:**  $\sigma_{\text{dir}}^{(0)}$ , which is of **purely QED** origin

**NLO:**  $\sigma_{\text{dir}}^{(01)} \equiv \sigma_{\text{dir}}^{(0)} + \alpha_s(\mu)\sigma_{\text{dir}}^{(1)}$

But the latter expression has the **same form** as the first two terms in  $\sigma_{\text{had}}(Q)$

$\Downarrow$   
 $\sigma_{\text{dir}}^{(01)}$  **cannot be associated to a well-defined RS**  
 $\Downarrow$   
**does not deserve the label NLO!**

For QCD analysis of  $\sigma_{\text{dir}}$  in a well-defined RS the **third term** in  $\sigma_{\text{dir}}$ , proportional to  $\alpha_s^2(\mu)$  is **indispensable**. We need in particular the **diagrams involving loops** which contribute to the renormalization of  $\alpha_s(\mu)$ . The regular,  $\mu$ -dependent parts of their contributions provide the  $\ln \mu^2$  term canceling the  $\mu$  dependence of  $\alpha_s(\mu)$  in the second term of  $\sigma_{\text{dir}}^{(01)}$ .

## The lesson from $Q\bar{Q}$ production in pp collisions

Recall how factorization operates for heavy quark production in  $\bar{p}p$  collisions, where at the NLO

$$\sigma^{\text{NLO}}(\bar{p}p \rightarrow Q\bar{Q}) = D_1(M) \otimes \left[ \alpha_s^2(\mu) \sigma^{(2)} + \alpha_s^3(\mu) \sigma^{(3)}(M, \mu) \right] \otimes D_2(M),$$

and  $D_1(M)$  and  $D_2(M)$  satisfy the **homogeneous** evolution equations.

Factorization scale invariance of this expression requires that

$$\begin{aligned} \frac{d\sigma^{\text{NLO}}(\bar{p}p \rightarrow Q\bar{Q})}{d \ln M} &= \alpha_s^2(\mu) \left[ \frac{dD_1(M)}{d \ln M} \otimes \sigma^{(2)} \otimes D_2(M) + D_1(M) \otimes \sigma^{(2)} \otimes \frac{dD_2(M)}{d \ln M} + \right. \\ &\quad \left. \alpha_s(\mu) D_1(M) \otimes \frac{d\sigma^{(3)}(M)}{d \ln M} \otimes D_2(M) \right] + \\ \alpha_s^3(\mu) &\left( \frac{dD_1(M)}{d \ln M} \otimes \sigma^{(3)} \otimes D_2(M) + D_1(M) \otimes \sigma^{(3)} \otimes \frac{dD_2(M)}{d \ln M} \right) \propto \alpha_s^4 D_1(M) \otimes D_2(M) \end{aligned}$$

which **is guaranteed** by the fact that

$$\left[ \dots \right] \propto \alpha_s^2, \quad \left( \dots \right) \propto \alpha_s \quad \text{due to} \quad \frac{dD_i(M)}{d \ln M} \propto \alpha_s(M) D_i(M)$$

## Resolved photon contribution to $\sigma(\gamma\gamma \rightarrow Q\bar{Q})$

There are **5 classes** of resolved photon contributions:

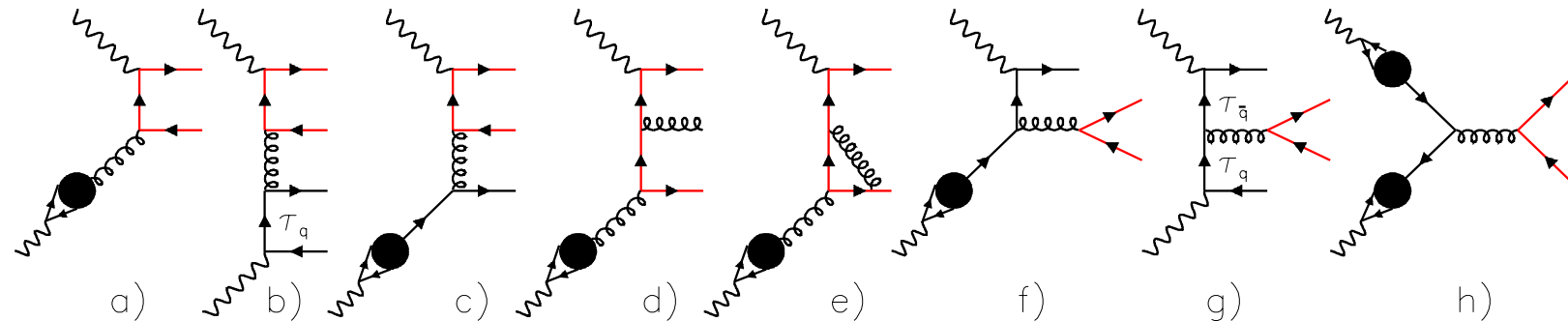
- **Single resolved** photon using
  - hadron-like parts of PDF ( $\sigma_{\text{srh}}$ ),
  - point-like parts of PDF ( $\sigma_{\text{srp}}$ ).
- **Double resolved** photon using
  - hadron-like parts of PDF on both sides ( $\sigma_{\text{drhh}}$ ),
  - hadron-like parts of PDF on one side and point-like on the other ( $\sigma_{\text{drhp}}$ ),
  - point-like parts of PDF on both sides ( $\sigma_{\text{drpp}}$ ).

With this subdivision of  $\sigma_{\text{sr}}$  and  $\sigma_{\text{dr}}$  in mind we can write

$$\sigma(\gamma\gamma \rightarrow Q\bar{Q}) = \sigma_{\text{dir}} + \sigma_{\text{srh}} + \sigma_{\text{srp}} + \sigma_{\text{drhh}} + \sigma_{\text{drhp}} + \sigma_{\text{drpp}}.$$

Only cross sections involving **point-like parts** of PDF will be considered further

# Q $\bar{Q}$ production in single resolved photon contribution



$$\sigma_{\text{srp}}^{(12)} \equiv \alpha_s(\mu) \sigma_{\text{srp}}^{(1)}(M) + \alpha_s^2(\mu) \sigma_{\text{srp}}^{(2)}(M, \mu) = \alpha_s(\mu) \left[ \sigma^{(1)} \otimes G(M) + \alpha_s(\mu) \sigma^{(2)}(M, \mu) \otimes D(M) \right]$$

**Class B** single resolved photon terms **mix with direct** photon ones

$$\frac{d\sigma_{\text{srp}}^{(12)}}{d \ln M} = \alpha_s(\mu) \left[ \sigma^{(1)} \otimes \frac{dG(M)}{d \ln M} + \alpha_s(\mu) \frac{d\sigma^{(2)}(M)}{d \ln M} \otimes D(M) + \alpha_s(\mu) \sigma^{(2)}(M) \frac{dD(M)}{d \ln M} \right]$$

$$\frac{dG(M)}{d \ln M} \propto \alpha \alpha_s, \quad \frac{dq(M)}{d \ln M} \propto \alpha, \quad \text{but } [\dots] \propto \alpha \alpha_s$$

only by **including class B direct photon contribution** which have the form

$$\alpha_s^2(\mu) \sigma_{\text{dir}}^{(2)}(B) \propto \alpha \alpha_s^2(\mu) \ln M \Rightarrow \frac{d\alpha_s^2(\mu) \sigma_{\text{dir}}^{(2)}(B)}{d \ln M} \propto \alpha \alpha_s^2$$

**can the factorization scale invariance be guaranteed!**

## Phenomenological consequences

Conventional expression for the NLO approximation

$$\sigma^{\text{conv}} \equiv \sigma_{\text{dir}}^{(01)}(\mu) + \left[ \sigma_{\text{sr}}^{(12)}(M, \mu) + \sigma_{\text{dr}}^{(23)}(M, \mu) \right]$$

where first term **does not mix** with the sum in [...], **is incomplete** because

- the **direct photon** contribution  $\sigma_{\text{dir}}^{(01)}$  is of the **LO only**,
- the **resolved photon** contribution is **not factorization scale invariant**.

Both shortcomings stem from **the absence of direct photon contributions of the order  $\alpha^2\alpha_s^2$** . These come in **three classes**, each of them needed for different reasons to make the expression

$$\sigma^{\text{NLO}} \equiv \sigma_{\text{dir}}^{(02)}(A; M, \mu) + \left[ \sigma_{\text{sr}}^{(12)}(M, \mu) + \sigma_{\text{dr}}^{(23)}(M, \mu) + \sigma_{\text{dir}}^{(02)}(B, M, \mu) + \sigma_{\text{dir}}^{(02)}(C, M, \mu) \right]$$

**genuine NLO QCD approximation**. What to do when  $\sigma_{\text{dir}}^{(2)}$  is not available?

Check the **stability** of  $\sigma^{\text{conv}}$  by

**investigating its factorization scale dependence!**